



INVESTMENT

 **APPLICATION FORM**

FOR INDIVIDUAL INVESTORS

ACCOUNT OPENING FORM – INDIVIDUAL

CATEGORY OF INVESTMENT (Please tick)

Nimed Fixed Income Fund ☐

Nimed Lifetime Plan ☐

Cash Plan ☐

Private Wealth Management ☐

Other, please specify

PERSONAL INFORMATION

Mr. ☐ Mrs. ☐ Miss ☐ Other, please specify

SURNAME FIRSTNAME

OTHER NAME MAIDEN NAME

MARITAL STATUS: Single ☐ Married ☐ Divorced ☐ Widowed ☐

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y

 GENDER: Male ☐ Female ☐

PLACE OF BIRTH

NATIONALITY COUNTRY OF RESIDENCE

RESIDENTIAL STATUS: Resident Ghanaian ☐ Non-Resident Ghanaian ☐
Resident Foreigner ☐ Non-Resident Foreigner ☐

If country of origin is not Ghana, please provide the following:

Resident Permit Number Permit Issue Date

Place of Issue Permit Expiry Date

Profession/ Occupation

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CONTACT DETAILS

Resident Address

Nearest Landmark City/ Town

Digital Address (Ghana Post Code)

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Postal Address

Email

Mobile No. (1)

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 Mobile No. (2)

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Contact Details (In case of emergency):

Contact Name

Contact No. (1)

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 Contact No. (2)

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PROOF OF IDENTITY

ID TYPE: Passport ☐ Voter ID ☐ Driver License ☐ SSNIT Card ☐ National ID ☐

ID Number: Issue Date:

D	D	M	M	Y	Y	Y	Y

Place of Issue: Expiry Date Date:

D	D	M	M	Y	Y	Y	Y

ACCOUNT SERVICES

STATEMENT PREFERENCE: Email ☐ Collection by Hand ☐ Post ☐
STATEMENT FREQUENCY: Monthly ☐ Quarterly ☐

EMPLOYMENT / BUSINESS DETAILS

Employed ☐ Self Employed ☐ Unemployed ☐ Retired ☐ Student ☐
Length of Employment
MONTHLY SALARY RANGE: 0 - 1,000 ☐ 1,001 - 5,000 ☐ 5,001 - 10,000 ☐ Above 10,000 ☐
EMPLOYER / BUSINESS NAME
EMPLOYER / BUSINESS ADDRESS
Nearest Landmark City/ Town
Digital Address (Ghana Post Code) -
Nature of Business
Office No. (1) Office No. (2)

BENEFICIARY INFORMATION / IN TRUST FOR

Mr. ☐ Mrs. ☐ Miss ☐ Other, please specify
SURNAME FIRSTNAME
OTHER NAME MAIDEN NAME
MARITAL STATUS: Single ☐ Married ☐ Divorced ☐ Widowed ☐
DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

 GENDER: Male ☐ Female ☐
PLACE OF BIRTH
NATIONALITY COUNTRY OF RESIDENCE

CLIENT INVESTMENT PROFILE

1. INVESTMENT OBJECTIVE: Income ☐ Growth ☐ Balance ☐
Other, please specify
2. RISK TOLERANCE: Low ☐ Medium ☐ High ☐
3. INVESTMENT HORIZON: Short Term ☐ Medium Term ☐ Long Term ☐
4. INVESTMENT KNOWLEDGE: Fair ☐ Good ☐ Advance ☐ Novice ☐

EXPECTED ACCOUNT ACTIVITY

SOURCE OF FUNDS Income ☐ Proceeds From Business ☐ Inheritance / Gifts ☐
Personal Savings ☐ Other, please specify
Initial Investment Amount
Anticipated Account Activity Monthly ☐ Quarterly ☐ Bi-annually ☐ Annually ☐
Regular Topup Amount (Expected)

BANK ACCOUNT DETAILS

BANK NAME ACCOUNT NAME
ACCOUNT NUMBER BRANCH

TERMS AND CONDITIONS

Completing The Form:

The Account Opening Form must be completed by an adult-age 18 years and above.
Please use block letters.

Privacy:

Keeping Us Informed

We maintain a record about you which is part of our Know Your Customer (KYC) obligations. KYC remains important to our service delivery. Kindly inform us in writing (signed by the authorized signatory/signatories) of any changes to details which we have of you. Nimed Capital will send you a written confirmation of any changes made to your account - as requested. For all correspondence please quote your investor number.

Collecting and Using Your Information:

We collect information for the following purposes:

- a. To process your application
- b. To administer your investment and provide you with reports
- c. To monitor and improve the quality of service provided to you
- d. To comply with regulatory or legal requirements, including but not limited to the Securities Industry Act, 2016 (Act 929); Unit Trust and Mutual Funds Regulations, L.I. 1695 and Securities and Exchange Commission Regulations, 2003 L.I. 1728; Foreign Exchange Act, 2006 Act 723 and Anti-Money Laundering Act, 2008 Act 749

Operating Investment Account With NIMED CAPITAL

Nimed Capital shall not be liable for any loss and damages resulting from our failure to detect falsification, forgery or other defect in signature, authentication or legal capacity, save the the extent that it results from our negligence, willful misconduct and /or fraud on our part.

Trust Accounts

Trust accounts can be opened and operated where a trust arrangement is established between individuals or corporate bodies/institutions. Trust accounts can also be opened for individuals less than eighteen (18) years of age. For trust accounts operated for minors, the account operator is automatically the person for whom the account is held in trust. The beneficiary can access the account from age 18 onwards.

ACCOUNT MANDATE

NAME OF SIGNATORY

SIGNATURE SPECIMEN (upload your signature)

One to Sign ☐

Either to Sign ☐

Both to Sign ☐

DECLARATION

I/we _____ have carefully read the Account Terms for opening an investment account with Nimed Capital and declare my/our acceptance of all conditions above. I/we declare that I/we shall maintain this account in compliance with all statutory and regulatory requirements related to my/our investments. I/we also declare that Nimed Capital may exercise discretion to ensure that my/our account is compliant.

upload your signature

upload your signature

OFFICIAL USE ONLY

CUSTOMER RISK PROFILE

Client Screening:

Level of Risk: Low ☐ Medium ☐ High ☐

Nature of High Risk Exposure:

(Indicate category of high risk)

APPROVALS

*Accounts of High Risk Nature must be jointly approved by Executive / CEO and Compliance Officer

EXECUTIVE / CEO

NAME:

SIGNATURE

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y

COMMENTS

COMPLIANCE OFFICER

NAME:

SIGNATURE

DATE OF BIRTH

D	D	M	M	Y	Y	Y	Y

COMMENTS

CHECKLIST

SN. Documents Required

1. Passport-sized photographs (Account holders / Beneficiaries)
2. Proof of Identity
3. Proof of Identity of Account Beneficiary
4. Proof of Address
5. Specimen Signature(s)
6. Email Indemnity (for clients with email address)
7. Proof of Address (for Non-Resident clients)
8. Resident / Work Permit (for Non-Ghanaians)

COMMENTS / OBSERVATIONS(optional):